

# Logarithmic-Time Point Location in General Two-Dimensional Subdivisions

Michal Kleinbort

Tel Aviv University, May 2014

Joint work with Michael Hemmer and Dan Halperin

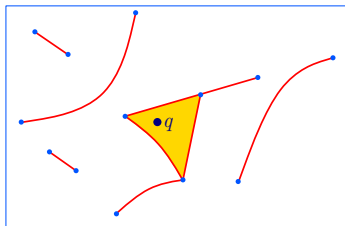
# Planar Point Location - Definition

- Let  $S$  be a planar subdivision consisting of faces, edges, and vertices

## The Planar Point Location Problem

Input: Query point  $q$

Output: The feature of  $S$  containing  $q$



$n$  - the number of subdivision edges

# Outline

- Trapezoidal-map RIC point-location variants
- Depth vs. maximum query path length
- An efficient construction algorithm for static settings
- Open Problems

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# Two Variants of the Trapezoidal Map RIC Point Location

- **Basic algorithm** [Mulmuley '90, Seidel '91]
  - ▶ **Expected**  $O(\log n)$  query time
  - ▶ **Expected**  $O(n)$  size
  - ▶ **Expected**  $O(n \log n)$  preprocessing time
- **Guaranteed variant** [de Berg et al. '00]
  - ▶ **Guaranteed**  $O(\log n)$  query time
  - ▶ **Guaranteed**  $O(n)$  size
  - ▶ **Expected**  $O(n \log^2 n)$  preprocessing time (?)

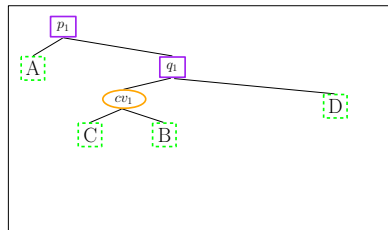
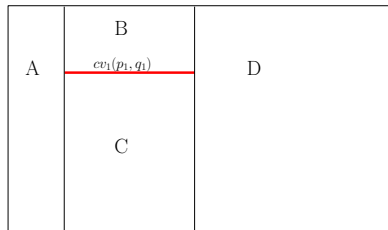
# The Basic RIC Point Location Algorithm

**Description:** Builds the [trapezoidal-map](#) using a randomized incremental construction and maintains an auxiliary [search-structure](#) (DAG)

[Mulmuley '90, Seidel'91]

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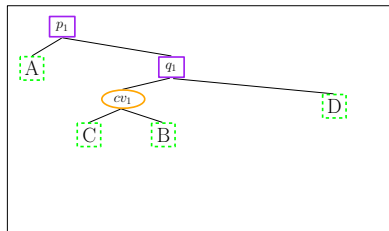
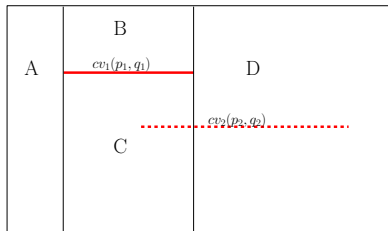
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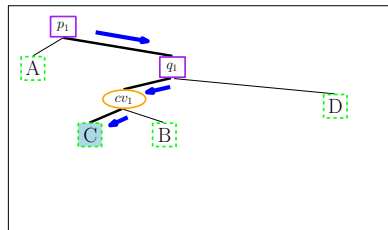
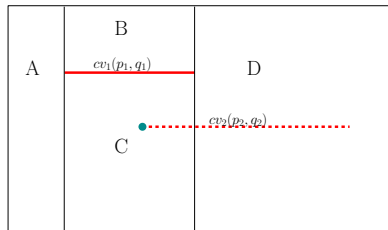


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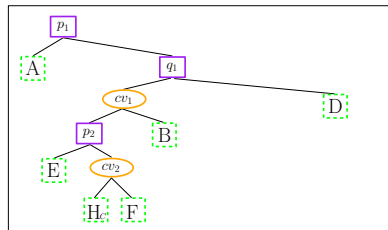
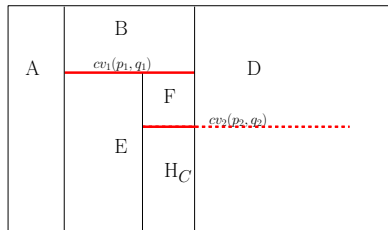
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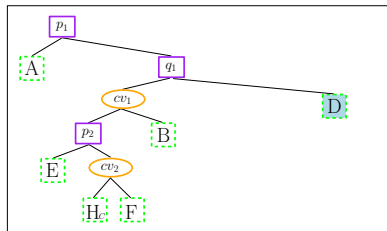
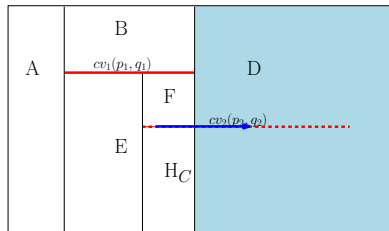
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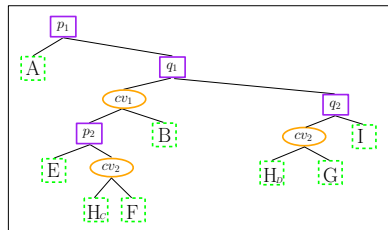
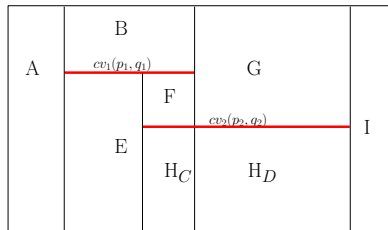
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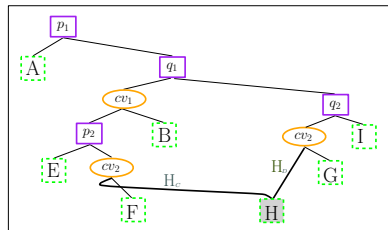
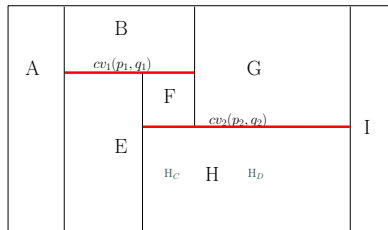
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# Basic Algorithm [Mulmuley '90, Seidel '91] - Complexity

- Expected  $O(\log n)$  query time
- Expected  $O(n)$  size
- Expected  $O(n \log n)$  preprocessing time

## Guaranteed $O(\log n)$ Query Time and $O(n)$ Size

- $\mathcal{S}$  - the size of the DAG
- $\mathcal{L}$  - the length of the longest query path

[de Berg et al.]

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The main idea:

- Construct the DAG using the basic algorithm with some random insertion order
  - ▶ Verify  $\mathcal{S}$  on the fly ( $\mathcal{S}$  can be accessed in  $O(1)$  time)
  - ▶ Abort and rebuild if  $\mathcal{S} \geq c_1 n$
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  - ▶ Abort and rebuild if  $\mathcal{S} \geq c_1 n$
- Verify that  $\mathcal{L} \leq c_2 \log n$ , rebuild otherwise
- Only a constant number of rebuilds is expected
  - ▶ The probability that  $\mathcal{L}$  is bad is very small (Lemma 2)
  - ▶ The probability that  $\mathcal{S}$  is bad is very small (Lemma 3)

[de Berg et al.]

# Guaranteed $O(\log n)$ Query Time and $O(n)$ Size

- $f(n)$  - Time to verify that  $\mathcal{L}$  is logarithmic on a DAG of  $n$  curves
- Overall expected time for construction:  $O(n \log n + f(n))$
- It is unclear how to efficiently verify  $\mathcal{L}$ :
  - ▶ Claim that the expected verification time is  $O(n \log^2 n)$
  - ▶ No concrete proof is given

[de Berg et al.]

# The Probabilities for “Bad” $\mathcal{L}$ or $\mathcal{S}$ are Small

## Lemma 1 (Prob. that a given search path is bad is small)

Given a set  $S$  of  $n$  non-crossing line segments, a query point  $q$ , and a parameter  $\lambda > 0$ , the probability that the search path for  $q$  in the DAG has more than  $3\lambda \log(n+1)$  nodes is at most  $1/(n+1)^{\lambda \log 1.25 - 1}$

Proof: using a tail estimate (Appendix)

# The Probabilities for “Bad” $\mathcal{L}$ or $\mathcal{S}$ are Small

## Lemma 2 (Prob. that $\mathcal{L}$ is bad is small)

Given a set  $S$  of  $n$  non-crossing line segments, and a parameter  $\lambda > 0$ , the probability that the maximum length of a search path in the DAG is more than  $3\lambda \log(n+1)$  is at most  $2/(n+1)^{\lambda \log 1.25 - 3}$

Proof sketch:

- Extend vertical walls at each endpoint- defining at most  $2(n+1)^2$  regions
- Consider the search paths of representative points of these regions
- By Lemma 1 we get the required result

# The Probabilities for “Bad” $\mathcal{L}$ or $\mathcal{S}$ are Small

## Lemma 3 (Prob. that $\mathcal{S}$ is bad is small)

Given a set  $S$  of  $n$  non-crossing  $x$ -monotone curves, and a parameter  $\rho \geq 1$ , the probability that the size  $\mathcal{S}$  of the DAG is greater than  $15\rho n$  is at most  $1/\rho$

(Proof in the Appendix)

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Idea: Maintain the depth  $\mathcal{D}$  of the DAG instead (easy to maintain)

- $\mathcal{D}$  represents the length of the longest DAG path

# The Modified Algorithm Using $\mathcal{D}$

The modified algorithm:

- Observe  $\mathcal{S}$  and  $\mathcal{D}$  during construction
- Abort and rebuild structure if one of the following occurs:
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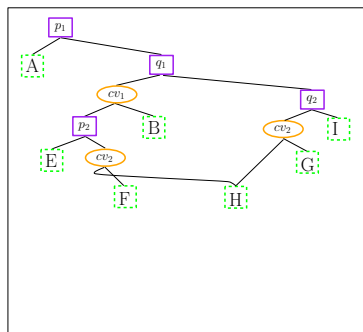
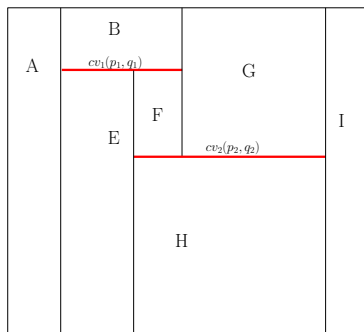
- $\mathcal{D}$  is not  $\mathcal{L}$ 
  - ▶ Can we still expect a constant number of rebuilds?

# The Difference between $\mathcal{D}$ and $\mathcal{L}$

- Reminder:  $\mathcal{D}$  represents the length of the longest DAG path
- Some DAG paths are not search paths
  - ▶  $\mathcal{D}$  is an upper bound on  $\mathcal{L}$
  - ▶  $\mathcal{D}$  may be significantly larger than  $\mathcal{L}$

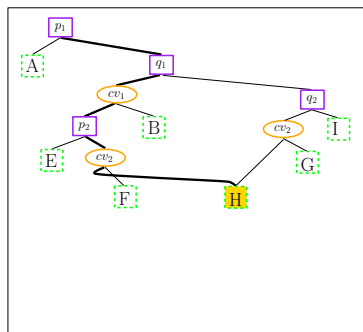
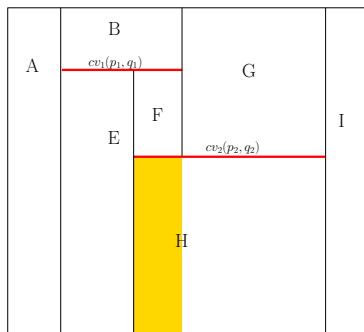
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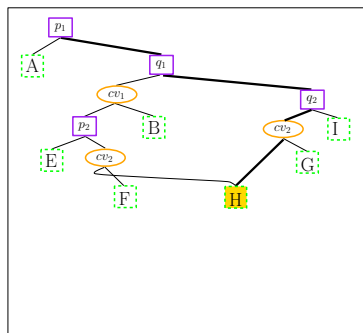
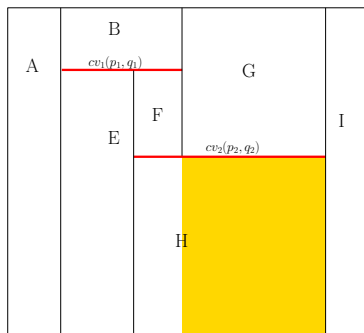
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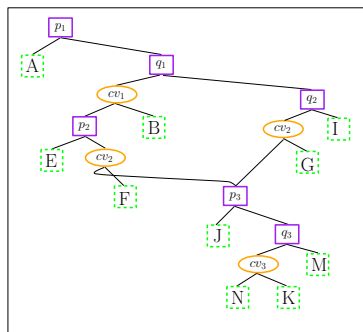
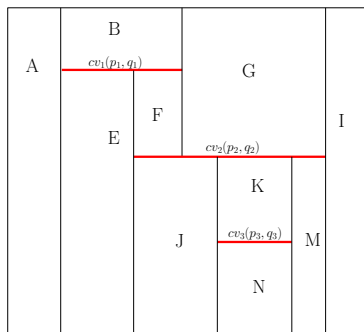
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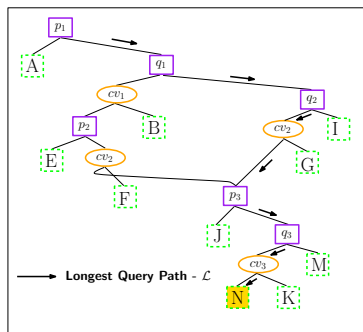
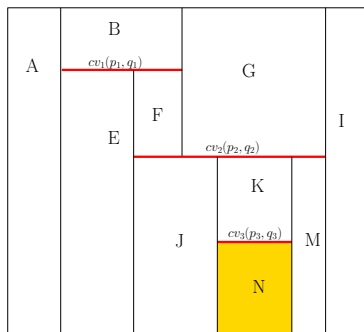
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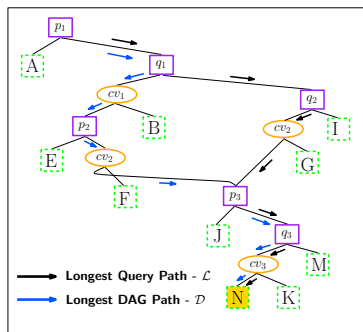
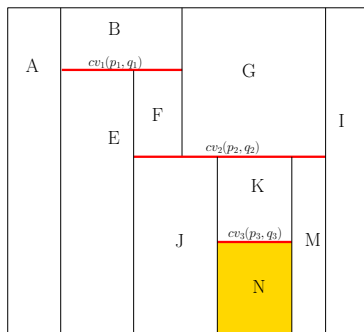
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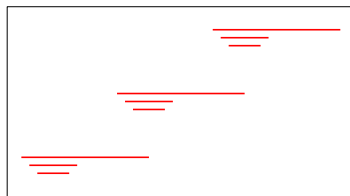
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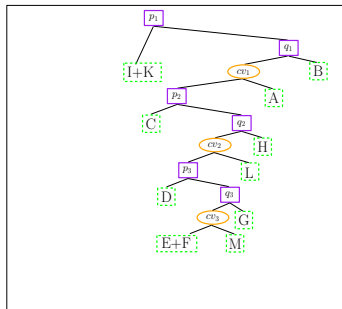
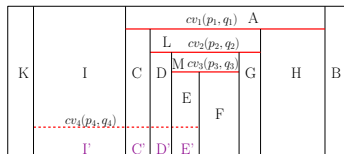


# Towards a Worst-Case $\mathcal{D}/\mathcal{L}$ Ratio

- Top-to-bottom insertion order
- $\sqrt{n}$  blocks
- $\sqrt{n}$  segments in each block
- $\mathcal{D}$  is  $\Omega(n)$
- $\mathcal{L}$  is  $O(\sqrt{n})$

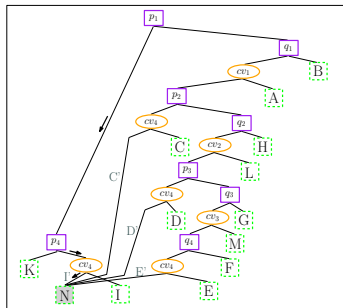
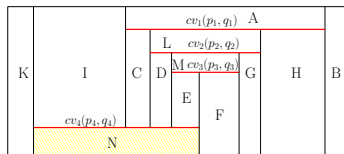


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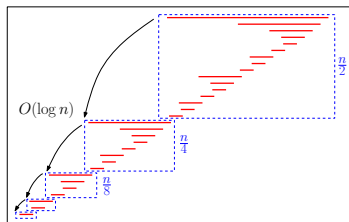
## Towards a Worst-Case $\mathcal{D}/\mathcal{L}$ Ratio

- This construction ensures that each newly inserted segment intersects the trapezoid with the largest depth
- A query can skip an entire block using only one comparison
- Within the relevant block there are at most  $O(\sqrt{n})$  comparisons



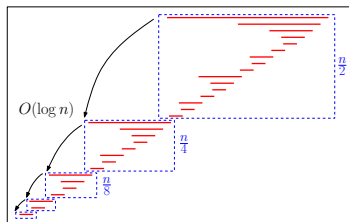
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## Theorem 1

The worst-case ratio between  $\mathcal{D}$  and  $\mathcal{L}$  is  $\Omega(n/\log n)$  and this bound is tight.

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## Verifying $\mathcal{L}$ After Construction in $O(n \log n)$ time

By verifying  $\mathcal{L}$  in  $O(n \log n)$  time we get the following expected  $O(n \log n)$  time construction algorithm:

- Construct the DAG with some random insertion order
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- A **reduction** from the collection of all trapezoids to a collection of axis-aligned rectangles
  - ▶ Uses a total order according to which curves can be translated one by one to  $y = -\infty$  without hitting other curves that have not been moved yet [Guibas & Yao '80]
  - ▶ Can be computed in  $O(n \log n)$  time [Ottmann & Widmayer '83]



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  - ▶ Can be computed in  $O(n \log n)$  time [Ottmann & Widmayer '83]
- An  **$O(n \log n)$  time algorithm** for computing the cover-depth of a collection of  $n$  axis-aligned rectangles [Alt & Scharf '10]

# An $O(n \log n)$ Verification Algorithm for $\mathcal{L}$

The key ingredient:

## Observation (Har-Peled)

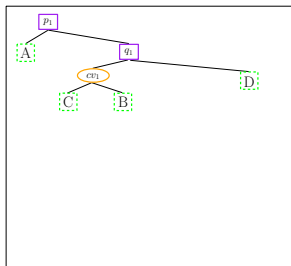
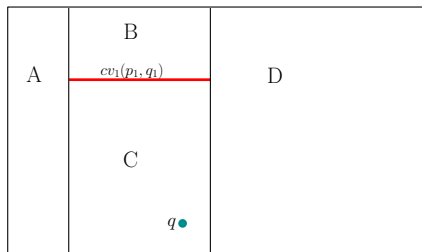
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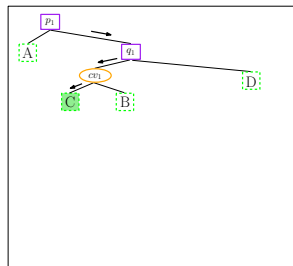
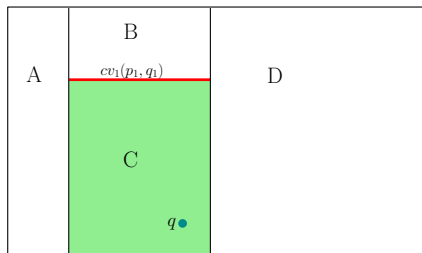


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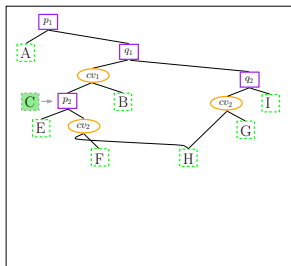
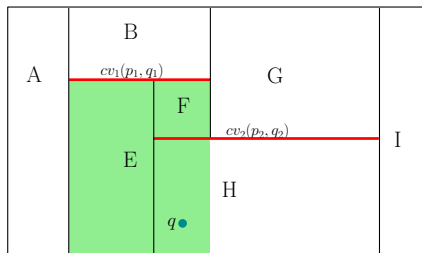


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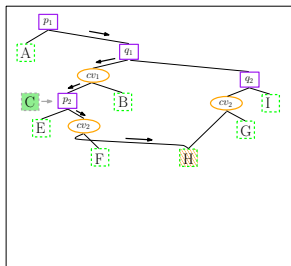
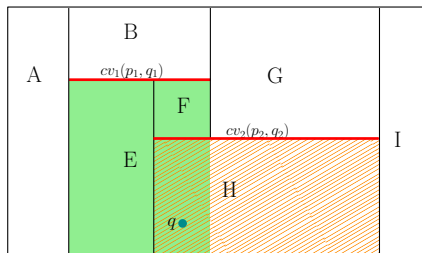


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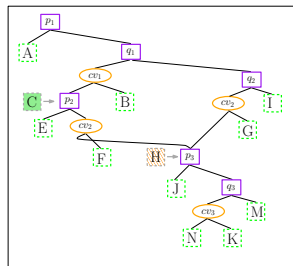
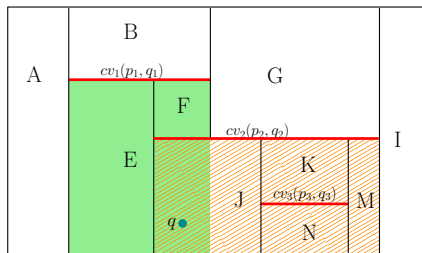


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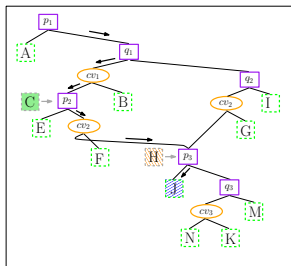
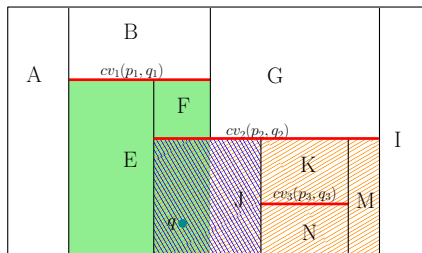


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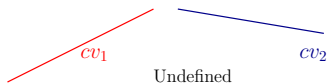
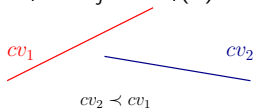
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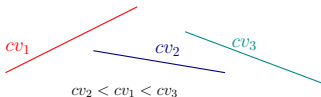


# Reducing $\mathcal{T}^*$ to $\mathcal{R}^*$

- $C$  - a set of interior disjoint  $x$ -monotone curves
- Define a total order  $<$  as follows [Guibas & Yao '80]:
  - ▶  $\prec$  - an acyclic relation on  $C$   
 $cv_i \prec cv_j \Leftrightarrow cv_i(x) < cv_j(x)$  for some  $x \in x\text{-range}(cv_i) \cap x\text{-range}(cv_j)$



- ▶ Extend  $\prec^+$  (the transitive closure of  $\prec$ ) to a total order  $<$ :  
 $cv_i < cv_j \Leftrightarrow (cv_i \prec^+ cv_j) \text{ or } (\neg(cv_j \prec^+ cv_i) \text{ and } (cv_i \text{ left } cv_j))$



## Reducing $\mathcal{T}^*$ to $\mathcal{R}^*$

- $Rank : C \rightarrow \{1, \dots, n\}$  - returns the order of  $cv \in C$  when sorting  $C$  according to  $<$

A trapezoid  $t \in \mathcal{T}^*$  is reduced to a rectangle  $r \in \mathcal{R}^*$ , s.t.:

- $t$  and  $r$  have the same  $x$ -range
- top and bottom edges of  $r$  lie on  $y = Rank(top(t))$  and  $y = Rank(bottom(t))$ , respectively

# Showing that the Reduction Preserves the Depth

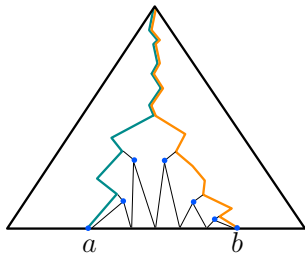
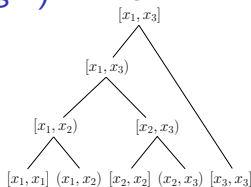
- Partition the plane into regions  $Regions(arr)$  by passing a vertical line through every endpoint of the arrangement  $arr$ .
  - For any region  $a_t \in Regions(\mathcal{A}(\mathcal{T}^*))$  the matching rectangular region is  $a_r \in Regions(\mathcal{A}(\mathcal{R}^*))$
- 

It can be shown that:

- 1  $Regions(\mathcal{A}(\mathcal{R}^*))$  spans the plane
- 2 For every  $t \in \mathcal{T}^*$  covering  $a_t$  its reduced rectangle  $r \in \mathcal{R}^*$  covers  $a_r$
- 3 For every  $r \in \mathcal{R}^*$  covering  $a_r$  its original trapezoid  $t \in \mathcal{T}^*$  covers  $a_t$

# Computing the Depth of $\mathcal{A}(\mathcal{R}^*)$ in $O(n \log n)$ Time

- Algorithm by Alt & Scharf (2010)
- Basic data structure:  
a balanced binary tree for the intervals
- Keep *coverage* and *max-coverage* in every node
- Sweep from  $y = +\infty$  to  $y = -\infty$
- Sweep-line event: rectangle starts or ends
- Update a rectangle event with  $x$ -interval  $(a, b)$  in  $\sim 2 \log n$  time



# An $O(n \log n)$ Verification Algorithm for $\mathcal{L}$

## Lemma 4

The length  $\mathcal{L}$  in a linear size DAG can be verified in  $O(n \log n)$  time.

# An $O(n \log n)$ Verification Algorithm for $\mathcal{L}$

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The length  $\mathcal{L}$  in a linear size DAG can be verified in  $O(n \log n)$  time.

## Theorem 2

A point location data structure for a planar subdivision with  $n$  edges, which has  $O(n)$  size and  $O(\log n)$  query time in the worst case, can be built in expected  $O(n \log n)$  time.

# A Simpler Verification Algorithm for $\mathcal{L}$

We also suggest a randomized verification algorithm which:

- Runs in expected  $O(n \log n)$  time
- Is much simpler
- Uses the existing structures (the DAG)

# Outline

- Trapezoidal-map RIC point-location variants
- Depth vs. maximum query path length
- An efficient construction algorithm for static settings
- **Open Problems**



# A Major Open Problem

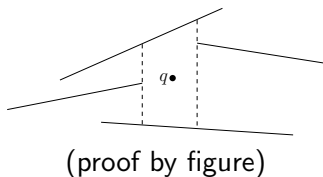
- Suppose that the structure is rebuilt whenever either the depth  $\mathcal{D}$  or the size  $\mathcal{S}$  exceed some thresholds.
- Can we still expect a constant number of rebuilds?

# The End

# Appendix

# The Expected Query Time

- **Observation:** the depth of the DAG increases by at most 3 in every iteration
- Consider the path for a query  $q$   
**Lemma 5:** Given a DAG for  $i$  segments, the probability that the removal of a segment will destroy the trapezoid containing  $q$  is at most  $4/i$



# The Expected Query Time

Bounding the expected length of the query path to  $q$  using backwards analysis:

- $X_i$  - the number of nodes added to the path to  $q$  in iteration  $i$
- $P_i$  - the probability that there's a node on the path to  $q$  that is created in iteration  $i$

$$\mathbb{E}\left[\sum_{i=1}^n X_i\right] = \sum_{i=1}^n \mathbb{E}[X_i] \leq \sum_{i=1}^n 3P_i \leq \sum_{i=1}^n \frac{12}{i} = 12 \sum_{i=1}^n \frac{1}{i} = O(\log n)$$

# The Expected Size

expected # DAG nodes = # leaves + expected # inner nodes

- Bounding # leaves:

- ▶ # leaves = # trapezoids in the trapezoidal map
- ▶ # trapezoids in the trapezoidal map is at most  $3n + 1 = O(n)$ 
  - ★ The left side of every trapezoid is defined by a vertex (the left trapezoid is bounded by the boundary)
  - ★ Each curve defines the left of 2 trapezoids with its left endpoint and 1 trapezoid with its right endpoint

# The Expected Size

- Bounding the expected  $\#$  inner nodes created in the  $i$ th iteration:
  - ▶ Is at most the expected  $\#$  new trapezoids created in the  $i$ th iteration ( $N_i$ )
  - ▶  $\mathcal{T}(S_i)$  - trapezoidal map for the first  $i$  inserted curves

$$N_i = \frac{1}{i} \sum_{c \in \mathcal{T}(S_i)} (\# \text{ trapezoids in } \mathcal{T}(S_i) \text{ that disappear by removing } c)$$
$$\leq \frac{1}{i} 4(\# \text{ trapezoids in } \mathcal{T}(S_i)) = \frac{O(i)}{i} = O(1)$$

- Summing up over all  $i \rightarrow$  we get: expected  $O(n)$  inner nodes in the final DAG

Corollary: expected  $\#$  nodes is  $O(n)$

# The Expected Preprocessing Time

- Expected time to insert the  $i$ th curve:  $O(\log i)$ 
  - ▶ Expected time to locate the left endpoint of the  $i$ th curve:  $O(\log i)$
  - ▶ Expected # trapezoids created by the  $i$ th insertion:  $O(1)$
- Each insertion takes at most expected  $O(\log n)$  time  
 $\Rightarrow O(n \log n)$  expected preprocessing time



# A Tail Estimate

We show: the probability that the maximum query time is bad is very small

**Lemma 1:** Given a set  $S$  of  $n$  non-crossing line segments, a query point  $q$ , and a parameter  $\lambda > 0$ , the probability that the search path for  $q$  in the DAG has more than  $3\lambda \log(n+1)$  nodes is at most  $1/(n+1)^{\lambda \log 1.25-1}$

Proof sketch:

- Define a DAG with one source and one sink, the paths correspond to the permutations of  $S$ 
  - ▶ A node for every subset of  $S$ , grouped in layers according to cardinality
  - ▶ A node in layer  $i$  has  $i$  incoming edges from nodes in layer  $i-1$  and  $n-i$  outgoing edges to nodes in layer  $i+1$
  - ▶ An edge is marked if its insertion at that point changes the trapezoid containing  $q$
  - ▶ Backwards analysis argument: at most 4 segments change the trapezoid containing  $q$  when they are removed from the subset
  - ▶ Therefore, any node has at most 4 marked incoming arcs (we always mark exactly 4)

# A Tail Estimate

Proof sketch - continued:

- Finding the expected # marked edges on a path in the DAG
- $X_i$  - (random variable) = 1 if the  $i$ -th arc on the path in the DAG is marked
- # nodes in the path is at most  $3Y$ , where  $Y := \sum_{i=1}^n X_i$
- Using Markov's inequality:

$$\Pr[Y \geq \lambda \log(n+1)] = \Pr[e^{tY} \geq e^{t\lambda \log(n+1)}] \leq e^{-t\lambda \log(n+1)} \mathbb{E}[e^{tY}]$$

- Since the random variables are independent:

$$\mathbb{E}[e^{tY}] = \mathbb{E}[e^{\sum_{i=1}^n tX_i}] = \mathbb{E}[\prod_{i=1}^n e^{tX_i}] = \prod_{i=1}^n \mathbb{E}[e^{tX_i}]$$

- $\prod_{i=1}^n \mathbb{E}[e^{tX_i}] \leq \frac{2}{1} \frac{3}{2} \cdots \frac{n+1}{n} = n+1$ , for  $t = \log 1.25$

$$\Pr[Y \geq \lambda \log(n+1)] \leq 1/(n+1)^{\lambda t - 1}$$

# A Tail Estimate

**Lemma 2:** Given a set  $S$  of  $n$  non-crossing line segments, and a parameter  $\lambda > 0$ , the probability that the maximum length of a search path in the DAG is more than  $3\lambda \log(n+1)$  is at most  $2/(n+1)^{\lambda \log 1.25 - 3}$

Proof sketch:

- Extend vertical walls at each endpoint- defining at most  $2(n+1)^2$  regions
- Consider the search paths of representative points of these regions
- By Lemma 1 we get the required result

# Size

We show: the probability that the size is bad is very small

**Lemma 3:** Given a set  $S$  of  $n$  non-crossing  $x$ -monotone curves, and a parameter  $\rho \geq 1$ , the probability that the size  $\mathcal{S}$  of the DAG is greater than  $15\rho n$  is at most  $1/\rho$

Proof sketch:

- $\mathcal{C}$  - random variable representing the number of DAG nodes
- $\mathcal{C} = \# \text{ leaves} + \text{sum of inner nodes created in iterations } 1, \dots, n$
- $\# \text{ leaves} = |\mathcal{T}(S)| \leq 3n + 1$
- $\# \text{ inner nodes created in iteration } i = \# \text{ new trapezoids created in iteration } i \text{ minus } 1 = k_i - 1$

## Size

$$\begin{aligned}\mathbb{E}[C] &= \mathbb{E}[|\mathcal{T}(S)| + \sum_{i=1}^n (k_i - 1)] = \mathbb{E}[|\mathcal{T}(S)|] + \mathbb{E}[\sum_{i=1}^n (k_i - 1)] \\ &\leq (3n + 1) + \mathbb{E}[\sum_{i=1}^n k_i] - n = 2n + 1 + \mathbb{E}[\sum_{i=1}^n k_i] = 2n + 1 + \sum_{i=1}^n \mathbb{E}[k_i]\end{aligned}$$

$$\mathbb{E}[k_i] \leq \frac{4 \cdot |\mathcal{T}(S_i)|}{i} \leq \frac{4(3i+1)}{i} = 12 + \frac{4}{i}$$

Therefore,  $\mathbb{E}[C] \leq 14n + 1 + 4H_n$   
and  $\mathbb{E}[C] < 15n$ , for  $n \geq 12$

Using Markov's inequality:  $\Pr[C \geq 15\rho n] \leq \frac{\mathbb{E}[C]}{15\rho n} = \frac{15n}{15\rho n} = \frac{1}{\rho}$